

SCHEDULE C OF IMPLEMENTING ARRANGEMENT 1
MANDATORY REQUIREMENTS FOR ACCEPTING AND DESIGNATING
CERTIFICATION BODIES

- 1) To be accepted or designated, a Certification Body will:
 - a) have technical and management competence to carry out certification for Specified Products for compliance with the applicable Mandatory Requirements under the Agreement within the scope for which it is to be accepted or designated;
 - b) operate in accordance with ISO/IEC Guide 65, related International Accreditation Forum guidance, and the appropriate CCC Implementation Rules, and be accredited to these requirements by the Accreditation Agency within its own territory;
 - c) ensure that the personnel involved in any part of the certification process are competent to carry out their assignments, and make technical judgments and conclusions in accordance with the Mandatory Requirements;
 - d) be familiar with the products-related standards, including any deviations required, applicable CCC Implementation Rules and other Mandatory Requirements, within the scope that it is to be accepted or designated for, and it will establish mechanisms to implement the above-mentioned standards, rules and requirements and keep them updated;
 - e) establish management procedures to ensure traceability of the whole certification process, integrity and credibility of original records of certification, and effective control of projects and to ensure that the original records of certification are retained for a period of not less than 5 years after the Specified Product has ceased to be sold;
 - f) make arrangements to cover liabilities arising from its operations under the Agreement, such as appropriate insurance that covers the scope for which it is to be accepted or designated or maintain minimal contingency reserves equivalent to six months of the Certification Body's operating costs;
 - g) have adequate experience in product certification in general and will have experience for more than two years or have issued more than 20 certificates in the field or fields that relate to the scope that is to be accepted or designated for;
 - h) meet any special requirements relating to experience in certifying particular Specified Products if:
 - i) the Responsible Authority of the Party that wishes to impose those special requirements has advised, in writing, the other Responsible Authority and the Accreditation Agencies for Certification Bodies of

- the special requirements; and
 - ii) the other Responsible Authority has advised the first Responsible Authority that it has no objections to those special requirements;
 - i) have direct control of or a contractual relationship with Test Facilities that are accepted or designated, or have applied for acceptance or designation, covering the same scope as that for which the Certification Body is to be accepted or designated;
 - j) employ or have direct control of Inspectors who are registered, or have applied for registration, covering the same scope as that for which the Certification Body is to be accepted or designated, and ensure that they have a contractual obligation to carry out the inspections that are required in relation to any CCC certificate that is recommended or issued under the Agreement;
 - k) be a third-party and independent certification body, whose organizational structure and structure of property ownership will not cause any commercial pressure on any conformity assessment activity for which it is accepted or designated under the Agreement.
- 2) Where possible, the Responsible Authorities will ensure that for each Specified Product scope, there are two or more accepted or designated Certification Bodies accepted or designated within its own territory. In order to seek balance between the amount of resources and the actual need for those resources, and to maintain simplicity and convenience, the number of accepted or designated Certification Bodies can be limited on the basis of actual need by the Responsible Authorities.
- 3) An accepted Certification Body will ensure that its inspection teams have the professional knowledge and abilities that relate to the Specified Products to be inspected.
- 4) A Certification Body that is accepted, or has applied to be accepted, will establish procedures to monitor and record all the CCC Marks that are issued or approved relating to the CCC Certification that it has recommended.
- 5) For the purposes of Article 12.4 of the Agreement, an accepted Certification Body will establish a specific contact point for communications with relevant designated Certification Bodies.
- 6) A Certification Body that is accepted or has applied for acceptance will establish procedures for the evaluation of the Inspectors that it intends to recommend for registration.
- 7) The fee payable to a designated Certification Body, by the accepted Certification Body, for each CCC Certificate issued on the recommendation of the accepted Certification Body, will be RMB 300.00 yuan, excluding postage. The fee will include:

- a) the cost of making the certificate;
 - b) the cost of translation;
 - c) the cost of communicating information on the CCC Certification; and
 - d) the cost of managing CCC Certificates, including the fee for transferring information relating to the CCC Certificate to the Chinese Responsible Authority, suspension or withdrawal of the CCC Certification, and providing information for market surveillance.
- 8) The fee referred to in paragraph 7 may be adjusted as jointly decided, in writing, by the Responsible Authorities.